

The Impact of Mobile Blockers on Millimeter Wave Cellular Systems

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Abstract—Millimeter Wave (mmWave) communication systems can provide high data rates, but the system performance may degrade significantly due to interruptions by mobile blockers such as humans or vehicles. A high frequency of interruptions and lengthy blockage durations will degrade the quality of the user’s experience. A promising solution is to employ the macrodiversity of Base Stations (BSs), where the User Equipment (UE) can handover to other available BSs if the current serving BS gets blocked. However, an analytical model to evaluate the system performance of dynamic blockage events in this setting is unknown. In this paper, we develop a Line of Sight (LOS) dynamic blockage model and evaluate the probability, duration, and frequency of blockage events considering all the links to the UE which are not blocked by buildings or the user’s own body. For a dense urban area, we also analyze the impact of non-LOS (NLOS) links on blockage events. Our results indicate that the minimum density of BS required to satisfy the Quality of Service (QoS) requirements of Ultra Reliable Low Latency Communication (URLLC) applications will be driven mainly by blockage and latency constraints, rather than coverage or capacity requirements.

Index Terms—Macrodiversity, static blockages, mobile blockers, self-blockage, reliability, 5G, mmWave, stochastic geometry, URLLC, QoS, LOS, NLOS, network planning.

I. INTRODUCTION

Recent advances in applications ranging from Machine-Type Communication (MTC) to mission-critical services (connected-vehicle-to-everything (V2X), eHealth, Augmented/Virtual Reality (AR/VR), and tactile Internet) are posing tremendous challenges regarding capacity, reliability, latency, and scalability. V2X, eHealth, and MTC may require low capacity, but impose a very tight constraint on the network for ultra-high service reliability (99.999%), ultra-low latency (1 – 10 ms), and low Block Error Rates (BLER) (10^{-9} – 10^{-5}) [1]–[3]. On the other hand, AR/VR applications require a significantly higher capacity (100 Mbps

- few Gbps) and low latency (1ms-10ms), while being able to tolerate a relatively higher BLER [2]. Furthermore, most of these application will require a Service Interruption Time (SIT) of close to 0 ms [4]. The stringent requirements of these applications propel the rethinking of 5G cellular network design for Ultra Reliable Low Latency Communication (URLLC) applications. While many proposals to achieve low latency and high reliability have been proposed, such as edge caching, edge computing, network slicing [5], [6], a shorter Transmission Time Interval (TTI), frame structure [7], and flow queueing with dynamic sizing of the Radio Link Control (RLC) buffer at Data Link Layer [8], we focus our analysis on issues related to Radio Access Network (RAN) planning to achieve the stringent Quality of Service (QoS) requirements of URLLC applications.

Millimeter wave (mmWave) frequencies are being considered for the 5G RAN due to their abundant bandwidth as compared to traditional sub-6 GHz bands [9]. Thanks to the high bandwidth available at mmWave frequencies, the mmWave RAN can achieve data rates of the order of a few Gbps [10], suitable for the QoS requirements for AR/VR applications. However, mmWave communication systems are quite vulnerable to blockages due to higher penetration losses and reduced diffraction [11]. Even the human body can reduce the signal strength by 20 dB [12]. Thus, an unblocked Line of Sight (LOS) link is highly desirable for mmWave systems. When a LOS link is blocked, strong Non-Line of Sight (NLOS) links may also be helpful in achieving high reliability. However, NLOS links can also be blocked by mobile blockers. A mobile human blocker can block a link for approximately 500 ms [12]. The frequent blockages of mmWave links and high blockage durations can be detrimental to URLLC applications.

One potential solution to blockages in the mmWave cellular network is employing a combination of macrodiversity of Base Stations (BSs) and Coordinated Multipoint (CoMP) techniques. These techniques have shown a significant reduction in interference and improvement in reliability, coverage, and capacity in the current sub-6 GHz Long Term Evolution-Advance (LTE-A) deployments [13]. Furthermore, RANs are moving towards the cloud-RAN (C-RAN) architecture that implements macrodiversity and CoMP techniques by pooling a large number of BSs in a single centralized Base Band Unit (BBU) [14], [15]. As a single centralized BBU handles multiple BSs, the handover and beam-steering time can be reduced significantly [16]. To reduce the SIT to close to 0 ms, the 3rd Generation Partnership Project (3GPP) has introduced Make-before-break (MBB) and Random Access Channel (RACH)-less techniques in Release 14 [17]. In the MBB handover procedure, the connection to a serving BS is

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released only after the handover to the new BS is complete. In the RACH-less technique, the target BS obtains the necessary RACH information from the serving BS. Furthermore, to achieve a close to 0 ms handover latency, a multi-connectivity functional architecture is proposed, where different Radio Access Technologies (RATs) such as 5G New Radio (NR), LTE, and WiFi can be tightly coupled and a single handover command can be sufficient for service migration between different RATs and BSs [18].

The impact of service interruptions due to blockage can also be alleviated by caching the downlink content at the BSs or the network edge for AR/VR applications [19]. However, caching the content more than about 10 ms may degrade the user experience and may cause nausea to the users particularly for AR applications [20]. For applications like MTC, autonomous driving, eHealth, and the tactile Internet, the 5G network must achieve high reliability and low latency. Careful network planning can meet the requirements of URLLC applications. Therefore, it is important to study the blockage probability and blockage duration to obtain the optimal density of BS that satisfies the desired QoS requirements.

As shown in previous work by Bai, Vaze, and Heath [21], [22], mmWave networks may not provide very high coverage due to static blockages (blockages such as buildings, trees, and other static structures). Thus, we envision the 5G cellular network as the overlap of sub-6 GHz LTE and 5G NR where the coverage holes of the 5G NR would be taken care of by LTE. In this architecture, both 5G and LTE will be collectively responsible for achieving the QoS requirements of URLLC applications and the C-RAN will be responsible for seamless migration of services from 5G NR to LTE (or vice-versa). Our primary concern is to quantify the QoS requirements of URLLC applications in the mmWave cellular network where blockages may cause a significant problem. To provide seamless connectivity for URLLC applications, we present an analysis considering key QoS parameters such as the *probability of blockage events*, *blockage frequency*, and *blockage duration*. The major contributions of this paper are summarized as follows:

- 1) We provide a stochastic geometry based analytical model of the combined effect of static blockages (User Equipment (UE) blocked by permanent structures such as buildings), dynamic blockage (UE blocked by mobile blockers) and self-blockage (UE blocked by the user's own body) to evaluate the impact on key QoS metrics.
- 2) We obtain analytical expressions for the probability, frequency, and duration of simultaneous blockage of all BSs in the range of the UE.
- 3) We verify our dynamic blockage model through Monte-Carlo simulations by considering a random waypoint mobility model for mobile blockers.
- 4) Finally, we present a case study to find the minimum BS density that is required to satisfy the QoS requirements of URLLC applications for two scenarios: an open park-like scenario and an urban scenario. We also analyze the trade-off between BS height and density to satisfy the QoS requirements.

The rest of the paper is organized as follows. The related work is presented in Section II. The system model is described in Section III. Section IV provides an analysis of blockage events and evaluates the key blockage metrics for LOS link. The analysis is extended to consider blockage of both LOS and NLOS links in Section V. Section VI considers the special case of a hexagonal cell layout. Numerical results are presented in Section VII. Finally, Section VIII concludes the paper.

II. RELATED WORK

A mmWave link may have three kinds of blockages, namely, static, dynamic, and self-blockage. Static blockage due to buildings and permanent structures has been thoroughly studied by Bai, Vaze, and Heath in [21] and [22] using random shape theory and a stochastic geometry approach for urban microwave systems. The underlying static blockage model is incorporated into the cellular system coverage and rate analysis by Bai *et al.* [11]. Static blockages from permanent structures may cause a significant reduction in LOS link quality. On the other hand, reflections from such structures can provide sufficient NLOS paths to recover from the LOS path loss. Bai *et al.* [11] modeled the LOS and NLOS BSs as independent Poisson point processes and showed rate and coverage gain in the mmWave cellular network. A detailed NLOS model is presented in [23] by considering first-order reflections. However, they consider the blockages as squares with a fixed orientation. Akdeniz *et al.* [10] obtained a statistical model of the number of NLOS BSs through measurements in an urban scenario. Note that for an open area such as a public park, static blockages and NLOS paths play a small role.

The second type of blockage is dynamic blockage due to mobile humans and vehicles (collectively called mobile blockers) which may cause frequent interruptions to the LOS link. Dynamic blockage has been given significant importance by 3GPP in TR 38.901 of Release 14 [24]. An analytical model in [25] considers a single access point, a stationary user, and blockers located randomly in an area. The model in [26] is developed for a specific scenario of a road intersection using a Manhattan Poisson point process model. MacCartney *et al.* [12] developed a Markov model for blockage events based on measurements on a single BS-UE link. Similarly, Raghavan *et al.* [27] fits the blockage measurements with various statistical models. However, a model based on experimental analysis is generally specific to the measurement scenario and may not generalize well to other scenarios. The authors in [28] considered a 3D blockage model and analyzed the blocker arrival probability for a single BS-UE pair. Studies of spatial correlation and temporal variation in blockage events for a single BS-UE link are presented in [29] and [30]. However, their analytical model is not easily scalable to multiple BSs.

Apart from static and dynamic blockage, self-blockage plays a significant role in mmWave systems performance. The authors of [31] studied human body blockage through simulation. A statistical self-blockage model is developed in [27] through experiments considering various modes (landscape or portrait) of hand-held devices. The impact of self-blockage on received signal strength is studied by Bai and Heath in [32] through a

Table I: Summary of Notations

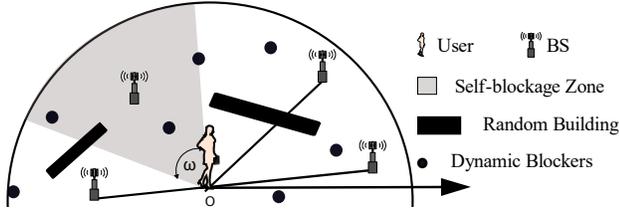


Figure 1: System Model: Service quality to the UE can be degraded by static blockage, self-blockage, and dynamic blockages. A typical UE is located at the center and BSs and blockers are located uniformly in a disc around the UE.

stochastic geometry model. They assume the self-blockage due to a user's body blocks the BSs in an area represented by a cone.

All the above blockage models consider the UE's association with a single BS. Macrodiversity of BSs is considered as a potential solution to alleviate the effect of blockage events in a cellular network. The authors of [33] and [34] proposed an architecture for macrodiversity with multiple BSs and showed improvements in network throughput. A blockage model with macrodiversity is developed in [35] for independent blocking and in [36] and [37] for correlated blocking. However, they consider only static blockage due to buildings.

The primary purpose of the blockage models in previous papers was to study the coverage and capacity analysis of the mmWave system. However, apart from signal degradation, blockage frequency and duration also affect the performance of the mmWave system and are critically important for URLLC applications.

In our previous work [38], we presented the effect of dynamic blockage and self-blockage on the LOS link in an open park scenario. The results presented in [38] indicate that a high density of BS is required to satisfy the QoS requirements of URLLC applications. The study motivated us to consider a regular hexagonal cell layout in this paper. We showed that a well-planned cellular network such as the hexagonal layout could combat blockage events more efficiently than a random BS deployment in an open park scenario. Furthermore, in this paper, we also consider an urban setting, where, apart from dynamic and self-blockage, there are static blockages which may block the LOS paths between UE and BSs, while at the same time provide NLOS paths between UE and BSs. This paper extends our previous dynamic blockage analysis to include static blockages and derives the blockage probability and duration considering both LOS and NLOS paths.

III. SYSTEM MODEL

Various components of our system model and the associated assumptions are presented in this section. The system model is shown in Figure 1.

A. Assumptions

- **BS Model:** The mmWave BS locations are modeled as a homogeneous Poisson Point Process (PPP) with density λ_T (a brief summary of notations is provided in Table I). Consider a disc $B(o, R)$ of radius R and centered around the origin o , where a typical UE is located. We assume

Notation	Description
R	LOS Range.
\tilde{R}	NLOS Range.
λ_T	BS Density.
λ_B, λ_S	Density of dynamic blockers, and static blockages resp.
α_i	Arrival rate of dynamic blockers.
ω	Self-blockage angle.
m	Number of BS in disc $B(o, R)$.
n	Number of BS in coverage.
k	Number of NLOS links for a given BS.
κ	Parameter for the distribution of number of NLOS paths.
r_i	UE-BS distance for i th BS.
\mathcal{C}^d	LOS coverage considering only self-blockage for open park scenario.
\mathcal{C}^{LOS}	LOS Coverage considering static and self-blockage.
\mathcal{C}	LOS and NLOS coverage considering static and self-blockage.
B^s, B^d	Indicator for static and dynamic blockage respectively for a single BS-UE link.
B^{self}	Indicator for self-blockage.
B^{hex}	Blockage indicator for hexagonal case.
B^{LOS}	LOS Blockage indicator considering static, dynamic, and self-blockage.
B	LOS and NLOS blockage indicator considering static, dynamic, and self-blockage.
ζ^d	Blockage frequency considering only dynamic and self-blockage.
T^d	Blockage duration of LOS paths considering dynamic and self-blockage.
T^{LOS}	Blockage duration of LOS paths considering static, dynamic, and self-blockage without NLOS paths.
T	Blockage duration considering static, dynamic, and self-blockage with both LOS and NLOS paths.

that each BS in $B(o, R)$ is a potential serving BS for the UE. Thus, the number of BSs M in the disc $B(o, R)$ of area πR^2 follows a Poisson distribution with parameter $\lambda_T \pi R^2$, i.e.,

$$P_M(m) = \frac{[\lambda_T \pi R^2]^m}{m!} e^{-\lambda_T \pi R^2}. \quad (1)$$

Given the number of BSs m in the disc $B(o, R)$, we have a uniform probability distribution for BS locations. The BSs distances $R_i, \forall i = 1, \dots, m$, from the UE are independent and identically distributed (i.i.d.) with distribution

$$f_{R_i|M}(r|m) = \frac{2r}{R^2}; \quad 0 < r \leq R, \forall i = 1, \dots, m, \quad (2)$$

and the angular positions $\theta_i, \forall i = 1, \dots, m$ of the BSs with respect to the x-axis are i.i.d. and follow a uniform distribution in $[0, 2\pi]$.

- **Static Blockage Model:** Static blockage due to buildings and permanent structures is thoroughly studied by Bai and Heath [22]. They modeled static blockages such as buildings using random shape theory. The buildings are considered to be of length ℓ , and width w . The probability¹ $P(B_i^s|m, r_i)$ that the i th BS-UE link is blocked by a static blockage is calculated in [22] as

$$P(B_i^s|m, r_i) = 1 - e^{-(\beta r_i + \beta_0)}; \quad \forall i = 1, \dots, m, \quad (3)$$

where $\beta = \frac{2}{\pi} \lambda_S (\mathbb{E}[\ell] + \mathbb{E}[w])$ and $\beta_0 = \lambda_S \mathbb{E}[\ell] \mathbb{E}[w]$; where λ_S is the density of static blockage represented

¹Note that the notation $P(B_i^s|m, r_i)$ is a compressed version of $P_{B_i^s|M, R_i}(B_i^s|m, r_i)$, which we use for convenience. Similar notations used elsewhere in this paper should be clear based on the context.

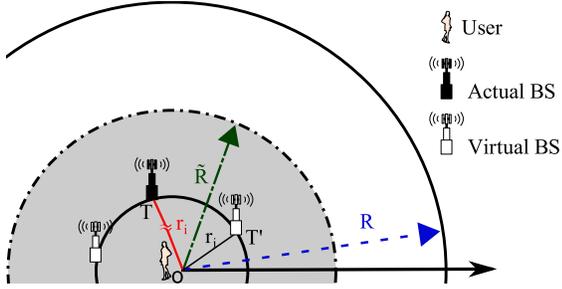


Figure 2: NLOS model: An actual BS located in a disc $B(o, \tilde{R})$ of radius \tilde{R} (shown as shaded region) may provide strong NLOS signals. For a given BS at a distance r_i from the origin, the corresponding virtual BSs are located on a circle of radius r_i around the origin.

in terms of static blockages per km^2 (sbl/km^2), and $\mathbb{E}[\ell]$ and $\mathbb{E}[w]$ are the expected length and width of buildings respectively. The effect of the height of buildings on static blockage probability is analyzed in [22]. For simplicity, we assume the static blockages are higher than the BS.

- **Self-blockage Model:** The user blocks a fraction of BSs due to his/her own body. The self-blockage zone is defined as a sector of the disc $B(o, R)$ making an angle ω at the user's body as shown in Figure 1. The orientation of the user's body is uniform in $[0, 2\pi]$. We consider a BS is blocked by self-blockage if it lies in the self-blockage zone. Therefore, the probability that a randomly chosen BS is blocked by self-blockage is

$$P(B^{\text{self}}) = \frac{\omega}{2\pi}. \quad (4)$$

For ease of notation, we denote by p the probability that a randomly chosen BS is not blocked by self-blockage:

$$p = 1 - P(B^{\text{self}}) = 1 - \frac{\omega}{2\pi}. \quad (5)$$

- **Dynamic Blockage Model:** Dynamic blockers are distributed according to a homogeneous PPP with density λ_B represented in terms of blockers per m^2 (bl/m^2). The blockers² are moving in the disc $B(o, R)$ with velocity V in a random direction. Further, the arrival process of the blockers crossing the i th BS-UE link is Poisson with intensity α_i . The blockage duration is independent of the blocker arrival process and is exponentially distributed with mean $1/\mu$. Thus, the blocking event follows an exponential on-off process with α_i and μ being the blocking and unblocking rates, respectively. The probability of blockage $P(B_i^d|m, r_i)$ of the i th BS-UE link due to dynamic blockers can therefore be expressed as follows:

$$P(B_i^d|m, r_i) = \frac{1/\mu}{1/\alpha_i + 1/\mu} = \frac{\alpha_i}{\alpha_i + \mu}. \quad (6)$$

We will derive an expression for the blocker arrival rate α_i in Lemma 1 in the next subsection.

- **NLOS Model:** NLOS links can fill the coverage holes in the mmWave cellular system and can enhance reliability. However, the signal degradation, due to reflections from reflectors such as buildings, may limit the number of

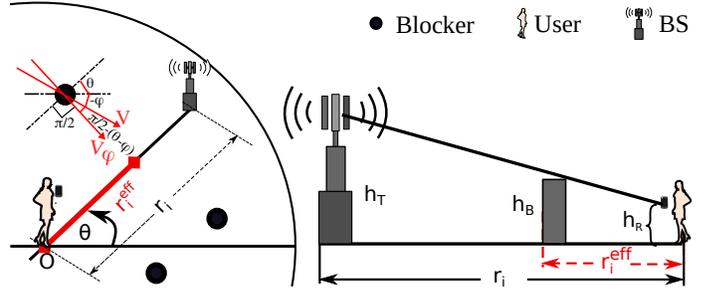


Figure 3: Dynamic blockage model: Blockers are moving with velocity V at a random angle φ from the x -axis. A blocker within r_i^{eff} (a fraction of the BS-UE distance r_i) distance away from the UE can cause interruptions to the i th BS-UE link.

strong NLOS paths. Since the NLOS link length is always longer than the corresponding LOS link length, we only consider those NLOS paths which correspond to BSs within $\tilde{R} \leq R$ distance from the origin. The corresponding disc $B(o, \tilde{R})$ is shown in Figure 2. The number of NLOS links K for a given BS-UE pair was obtained by Akdeniz *et al.* [10] through measurements in an urban area as

$$K \sim \max\{\text{Poisson}(\kappa), 1\}, \quad (7)$$

where κ is obtained empirically. The distribution $P_K(k)$ follows:

$$P_K(k) = \begin{cases} 0 & \text{if } k = 0, \\ e^{-\kappa} + e^{-\kappa}\kappa & \text{if } k = 1, \\ \frac{e^{-\kappa}\kappa^k}{k!} & \text{if } k > 1. \end{cases} \quad (8)$$

We consider virtual locations of NLOS BSs as images of LOS BS via reflectors. For a given BS at a distance r_i from origin, the corresponding virtual BSs would be at a distance longer than r_i . To obtain a lower bound on blockage probability, we consider the best case scenario when the NLOS BSs are located exactly at a distance r_i from origin. For simplicity, we assume the virtual BSs are distributed uniformly in a ring of radius r_i , which is the same as the actual BS-UE link length.

- **Connectivity Model:** We say the UE is blocked when all of the potential serving BSs, actual and virtual, in the disc $B(o, R)$ are blocked simultaneously.

B. Dynamic Blockage Model for a Single BS-UE Link

For a sound understanding of the dynamic blockage model, consider a single BS-UE LOS link in Figure 3. The 2D distance between the i th BS and the UE is r_i , and the LOS link makes an angle θ from the positive x -axis in the azimuth plane. Further, the blockers in the region move with constant velocity V at an angle φ with the positive x -axis, where φ is distributed uniformly in $[0, 2\pi]$. Note that only a fraction of blockers crossing the BS-UE link will be blocking the LOS path, as shown in Figure 3. The effective link length r_i^{eff} that is affected by the movement of dynamic blockers is given by,

$$r_i^{\text{eff}} = \frac{(h_B - h_R)}{(h_T - h_R)} r_i, \quad (9)$$

²In the rest of the paper, the term *blocker* implies dynamic blockers, unless otherwise stated.

where h_B , h_R , and h_T are the heights of blocker, UE (receiver), and BS (transmitter) respectively. The blocker arrival rate α_i (also called the blockage rate) is evaluated in Lemma 1.

Lemma 1. *The blockage rate α_i of the i th BS-UE link is*

$$\alpha_i = Cr_i, \quad \forall i = 1, \dots, m, \quad (10)$$

where C is proportional to blocker density λ_B as,

$$C = \frac{2}{\pi} \lambda_B V \frac{(h_B - h_R)}{(h_T - h_R)}. \quad (11)$$

Proof. Consider a blocker moving at an angle $(\theta - \varphi)$ relative to the BS-UE link (See Figure 3). The component of the blocker's velocity perpendicular to the BS-UE link is $V_\varphi = V \sin(\theta - \varphi)$, where V_φ is positive when $(\theta - \pi) < \varphi < \theta$. Next, we consider a rectangle of length r_i^{eff} and width $V_\varphi \Delta t$. The blockers in this area will block the LOS link over the interval of time Δt . Note there is an equivalent area on the other side of the link. Therefore, the average frequency of blockage is $2\lambda_B r_i^{\text{eff}} V_\varphi \Delta t = 2\lambda_B r_i^{\text{eff}} V \sin(\theta - \varphi) \Delta t$. Thus, the frequency of blockage per unit time is $2\lambda_B r_i^{\text{eff}} V \sin(\theta - \varphi)$. Taking an average over the uniform distribution of φ (uniform over $[0, 2\pi]$), we get the blockage rate α_i as follows:

$$\begin{aligned} \alpha_i &= 2\lambda_B r_i^{\text{eff}} V \int_{\varphi=\theta-\pi}^{\theta} \sin(\theta - \varphi) \frac{1}{2\pi} d\varphi \\ &= \frac{2}{\pi} \lambda_B r_i^{\text{eff}} V = \frac{2}{\pi} \lambda_B V \frac{(h_B - h_R)}{(h_T - h_R)} r_i. \end{aligned} \quad (12)$$

This concludes the proof. \blacksquare

Following [30], we model the blocker arrival process as Poisson with parameter α_i blockers/sec (bl/s). Note that there can be more than one blocker simultaneously blocking the LOS link. The overall blocking process has been modeled in [30] as an alternating renewal process with alternate periods of blocked/unblocked intervals, where the distribution of the blocked interval is obtained as the busy period distribution of a general $M/GI/\infty$ queuing system. Here M stands for Poisson arrival process of blockers and GI denotes a general independent distribution of blockage durations (service time), which depends on the velocity and direction of the arrival of the blockers. Since there can be many independent blockage events overlapping in time, we assume infinite servers.

For mathematical simplicity, we assume the blockage duration of a single blocker is exponentially distributed with parameter μ . Thus we can model the blocker arrival process as an $M/M/\infty$ queuing system. We further approximate the overall blocking process as an alternating renewal process with exponentially distributed periods of blocked and unblocked intervals with parameters α_i and μ respectively. Equivalently, the simultaneous blocking by two or more blockers is assumed to be a negligible probability event. This approximation works for a wide range of blocker densities, as is verified through simulations in Section VII.

The blocking event of a BS-UE link follows an on-off process with α_i and μ as blocking and unblocking rates,

respectively. The probability of blockage $P(B_i^d|m, r_i)$ of the i th BS-UE link due to dynamic blockers follows:

$$P(B_i^d|m, r_i) = \frac{\alpha_i}{\alpha_i + \mu} = \frac{\frac{C}{\mu} r_i}{1 + \frac{C}{\mu} r_i}, \quad \forall i = 1, \dots, m, \quad (13)$$

where C is proportional to the blocker density λ_B (see (11)).

In the next section, we consider a generalized LOS blockage model considering all three kind of blockages. We assume the UE keeps track of all available BSs using beam-steering and handover protocols, which we assume can be achieved with a SIT of 0 ms, as discussed in Section I.

IV. GENERALIZED LOS BLOCKAGE MODEL

In this Section, we evaluate the impact of mobile blockers such as people and vehicles on the direct LOS BS-UE link. We consider macrodiversity of BS and evaluate the blockage probability given that at least one of the BSs-UE links is available. A link is said to be available if it is not permanently obstructed by static blockages such as buildings and the user's own body.

A. LOS Coverage Probability

We say that the UE is in coverage if there is at least one BS not blocked by either static blockage or by self-blockage.

Lemma 2. *The distribution of the number of BSs (N) which are not blocked by static or self-blockage follows a Poisson distribution with parameter $pq\lambda_T\pi R^2$, i.e.,*

$$P_N(n) = \frac{[pq\lambda_T\pi R^2]^n}{n!} e^{-pq\lambda_T\pi R^2}, \quad (14)$$

where,

$$p = 1 - \omega/2\pi, \text{ and, } q = \frac{2e^{-\beta_0}}{\beta^2 R^2} \left[1 - (1 + \beta R)e^{-(\beta R)} \right]. \quad (15)$$

Proof. See Appendix A. \blacksquare

Corollary 1. *Let \mathcal{C}^{LOS} denote the LOS coverage event that represents that the UE has at least one serving BS in the disc $B(o, R)$ and is not blocked by static or self-blockage. The probability of this LOS coverage event \mathcal{C}^{LOS} is calculated as*

$$\begin{aligned} P(\mathcal{C}^{LOS}) &= P_N(N \neq 0) = 1 - P_N(0) \\ &= 1 - e^{-pq\lambda_T\pi R^2}, \end{aligned} \quad (16)$$

where p and q are defined in (15).

B. LOS Blockage Probability

Our objective is to develop a blockage model for the mmWave cellular system where the UE can connect to any of the potential serving BSs. In this setting, a blockage occurs when all the BS-UE links are blocked simultaneously. We define an indicator random variable B^{LOS} that indicates the LOS blockage of all available BSs in the range of the UE. We obtain the blockage probability by utilizing the independence of static, dynamic, and self-blockage events. A BS is considered blocked if it is blocked by either static blockage or dynamic blockage or self-blockage. The LOS

blockage probability $P(B_i^{LOS}|m, r_i)$ of the i th BS-UE link is conditioned on the number of BSs m in the disc $B(o, R)$ and the BS-UE distance r_i , and is given by:

$$\begin{aligned} P(B_i^{LOS}|m, r_i) &= 1 - (1 - P(B^{\text{self}})) (1 - P(B_i^s|m, r_i)) \\ &\quad \times (1 - P(B_i^d|m, r_i)) \\ &= 1 - pe^{-(\beta r_i + \beta_0)} \frac{1}{1 + \frac{C}{\mu} r_i}, \end{aligned} \quad (17)$$

where we use the expressions for static and dynamic blockage probability from (3) and (13) respectively. The blockage events of multiple BSs may be correlated based on the location of dynamic blockers. For instance, a blocker closer to the user may simultaneously block multiple BSs as compared to a blocker farther away from the user. However, in this paper, we assume independent blockage of all the BS-UE links and leave the correlation analysis for future work. Denoting the set of distances $\{r_1, r_2, \dots, r_m\}$ by a compressed form $\{r_i\}$, we evaluate the probability of simultaneous blockage of all the LOS BS-UE links, i.e., $P(B^{LOS}|m, \{r_i\})$, as follows:

$$\begin{aligned} P(B^{LOS}|m, \{r_i\}) &= \prod_{i=1}^m P(B_i^{LOS}|m, r_i) \\ &= \prod_{i=1}^m \left(1 - pe^{-(\beta r_i + \beta_0)} \frac{1}{1 + \frac{C}{\mu} r_i} \right). \end{aligned} \quad (18)$$

To obtain the marginal LOS blockage probability $P(B^{LOS})$, we first evaluate the conditional LOS blockage probability $P(B^{LOS}|m)$ by taking the average of $P(B^{LOS}|m, \{r_i\})$ over the distribution of distances $\{r_i\}$ and then find $P(B^{LOS})$ by taking the average of $P(B^{LOS}|m)$ over the distribution of m .

Theorem 1. *The marginal LOS blockage probability and the conditional LOS blockage probability given LOS coverage (16) is given by:*

$$P(B^{LOS}) = e^{-ap\lambda_T\pi R^2}, \quad (19)$$

$$P(B^{LOS}|\mathcal{C}^{LOS}) = \frac{e^{-ap\lambda_T\pi R^2} - e^{-pq\lambda_T\pi R^2}}{1 - e^{-pq\lambda_T\pi R^2}}, \quad (20)$$

where,

$$a = \int_r \frac{e^{-(\beta r + \beta_0)}}{1 + \frac{C}{\mu} r} \frac{2r}{R^2} dr \quad (21)$$

can be computed by numerical integration. Note that C is proportional to blocker density λ_B as shown in (11). Also, p and q are defined in (15) and are functions of the self-blockage angle ω , and static blockage density λ_S , respectively.

Proof. See Appendix B. ■

We also consider an open park scenario, with no static blockages, and obtain a closed-form expression for the blockage probability considering only dynamic and self-blockage.

Corollary 2. *The coverage probability $P(\mathcal{C}^d)$ for an open park scenario is given by*

$$P(\mathcal{C}^d) = e^{-p\lambda_T\pi R^2}. \quad (22)$$

The LOS dynamic blockage probability $P(B^d|\mathcal{C}^d)$, conditioned on coverage \mathcal{C}^d , is given by

$$P(B^d|\mathcal{C}^d) = \frac{e^{-a'p\lambda_T\pi R^2} - e^{-p\lambda_T\pi R^2}}{1 - e^{-p\lambda_T\pi R^2}}, \quad (23)$$

where,

$$a' = \frac{2\mu}{RC} - \frac{2\mu^2}{R^2C^2} \log\left(1 + \frac{RC}{\mu}\right), \quad (24)$$

and $p = 1 - \omega/2\pi$.

Proof. The proof is given in Appendix B. ■

The closed-form expression of blockage probability for the open park scenario gives insights while facilitating a quick analysis for network planning. For instance, we can approximate a' (in (24)) by taking a series expansion of $\log(1 + RC/\mu)$, i.e.,

$$\begin{aligned} a' &= \frac{2\mu}{RC} - \frac{2\mu^2}{R^2C^2} \left(\frac{RC}{\mu} - \frac{R^2C^2}{2\mu^2} + \frac{R^3C^3}{3\mu^3} + \dots \right), \\ &\approx 1 - \frac{2RC}{3\mu}, \quad \text{when } \frac{RC}{\mu} \ll 1. \end{aligned} \quad (25)$$

Note that for the blocker density as high as 0.1 blocker/m² (bl/m²), and for other parameters in Table III, we get $RC/\mu = 0.35$, which shows that the approximation (25) holds for a wide range of blocker densities. For large BS density λ_T , the coverage probability $P(\mathcal{C}^d)$ is approximately 1 and $P(B^d|\mathcal{C}^d) \approx P(B^d)$. In order to have a blockage probability $P(B^d)$ less than a threshold \bar{P} , i.e.,

$$P(B^d) = e^{-a'p\lambda_T\pi R^2} \leq \bar{P}, \quad (26)$$

the required BS density follows

$$\lambda_T \geq \frac{-\log(\bar{P})}{a'p\pi R^2} \approx \frac{-\log(\bar{P})(1 + \frac{2RC}{3\mu})}{p\pi R^2}, \quad (27)$$

where C is proportional to the blocker density λ_B in (11). The result (27) shows that the BS density approximately scales linearly with the blocker density (along with a constant factor).

C. Expected Blockage Duration

Recall that the duration of the blockage of a single BS-UE link is an exponential random variable with mean $1/\mu$. Since the blockage of individual BS-UE links are independent, the duration T^{LOS} of the LOS blockage of all n BSs (which are in coverage) follows an exponential distribution with mean $1/n\mu$ as follow

$$\mathbb{E}[T^{LOS}|n] = \frac{1}{n\mu}, \quad (28)$$

where T^{LOS} denote the LOS blockage duration.

Theorem 2. *The expected blockage duration of simultaneous blockage of all the BSs in $B(o, R)$, conditioned on the coverage event \mathcal{C}^{LOS} in (16), is obtained as*

$$\mathbb{E}[T^{LOS}|\mathcal{C}^{LOS}] = \frac{e^{-pq\lambda_T\pi R^2}}{\mu(1 - e^{-pq\lambda_T\pi R^2})} Ei[pq\lambda_T\pi R^2], \quad (29)$$

where, $Ei [pq\lambda_T\pi R^2] = \sum_{n=1}^{\infty} \frac{[pq\lambda_T\pi R^2]^n}{nn!}$ is a well-known series which can be written as the exponential integral function [39].

Proof. See Appendix C. ■

Lemma 3. $Ei [pq\lambda_T\pi R^2]$ converges.

Proof. We can use Cauchy ratio test to show that the series $\sum_{n=1}^{\infty} \frac{[pq\lambda_T\pi R^2]^n}{nn!}$ is convergent. Consider $L = \lim_{n \rightarrow \infty} \frac{[pq\lambda_T\pi R^2]^{n+1}/((n+1)(n+1)!)}{[pq\lambda_T\pi R^2]^n/(nn!)} = \lim_{n \rightarrow \infty} \frac{[pq\lambda_T\pi R^2]n}{(n+1)^2} = 0$. Hence, the series converges. ■

An approximation of blockage duration (29) can be obtained for a high BS density (λ_T) as follows:

$$\mathbb{E} [T^{LOS} | \mathcal{C}^{LOS}] \approx \frac{1}{\mu pq \lambda_T \pi R^2 (1 - e^{-pq \lambda_T \pi R^2})}. \quad (30)$$

This approximation is justified in Appendix D.

Corollary 3. The expected blockage duration given coverage \mathcal{C}^d (22) for the open park scenario is given by

$$\mathbb{E} [T^d | \mathcal{C}^d] = \frac{e^{-p\lambda_T\pi R^2}}{\mu (1 - e^{-p\lambda_T\pi R^2})} Ei [p\lambda_T\pi R^2], \quad (31)$$

which can be obtained from (29), by setting $q = 1$ (corresponding to $\beta = 0$ and $\beta_0 = 0$).

D. Expected Blockage Frequency

For the open park scenario, we define the dynamic blockage frequency ζ^d as the rate of blockage of all the BSs in the range of UE, i.e.,

$$\zeta^d = n\mu P(B^d | n, \{r_i\}), \quad (32)$$

where $P(B^d | n, \{r_i\})$ represents the dynamic blockage probability given n and $\{r_i\}$, n is the number of BS in the disc $B(o, R)$ not blocked by the user's body, and $\{r_i\}$ is the set of UE-BS distances r_i , for $i = 1, \dots, n$. We do not consider the effect of static blockages for the open park scenario. A closed-form expression for the expected blockage frequency is obtained in Theorem 3.

Theorem 3. The expected blockage frequency given coverage \mathcal{C}^d (22) for the open park scenario is obtained as:

$$\mathbb{E} [\zeta^d | \mathcal{C}^d] = \frac{\mu(1 - a') p \lambda_T \pi R^2 e^{-a' p \lambda_T \pi R^2}}{1 - e^{-p \lambda_T \pi R^2}}. \quad (33)$$

where p and a' are defined in (5) and (24) respectively.

Proof. See Appendix E. ■

V. EFFECT OF NLOS LINKS ON BLOCKAGE

In the previous section, we analyzed the LOS blockage probability and duration of blockage events due to mobile blockers. Apart from the LOS signal, the NLOS paths through reflections by buildings, trees and other permanent structures (collectively called reflectors) also play a major role in the mmWave cellular systems. We first present a NLOS link model and evaluate the coverage probability by incorporating the NLOS links. Then we will evaluate a lower bound on the blockage probability given coverage by considering both LOS and NLOS links.

A. Coverage Probability incorporating NLOS links

When we consider both LOS and NLOS links as potential links for connectivity, the coverage is defined as the availability of at least one unblocked LOS or NLOS link (unblocked by static or self-blockage). Considering the experimental results by Akdeniz *et al.* [10], there is always at least one NLOS signal for a given BS location, and we assume the NLOS signal is sufficiently strong when the BS is in a disc $B(o, \tilde{R})$ around the UE (See Figure 2). Therefore, we say that an NLOS link is not available when $r_i > \tilde{R}$. Assuming the availability of LOS and NLOS links to be independent of each other, we obtain the coverage probability $P(\mathcal{C} | m, \{r_i\})$ conditioned on m and $\{r_i\}$ for $i = 1, \dots, m$ as follow

$$P(\mathcal{C} | m, \{r_i\}) = 1 - \prod_{i=1}^m \left[\left(1 - pe^{-(\beta r_i + \beta_0)}\right) \left(1 - I_{(r_i \leq \tilde{R})}\right) \right], \quad (34)$$

where $I_{(r_i \leq \tilde{R})} = 1$ when $r_i \leq \tilde{R}$ and $I_{(r_i \leq \tilde{R})} = 0$ otherwise.

Lemma 4. The coverage probability considering LOS and NLOS links is defined by

$$P(\mathcal{C}) = 1 - e^{-\tilde{q}\lambda_T\pi R^2}, \quad (35)$$

where,

$$\tilde{q} = \frac{\tilde{R}^2}{R^2} - \frac{2pe^{-\beta_0}}{\beta^2 \tilde{R}^2} \left((1 + \beta R)e^{-\beta R} - (1 + \beta \tilde{R})e^{-\beta \tilde{R}} \right). \quad (36)$$

Proof. The proof is provided in Appendix F. ■

B. Incorporating NLOS links in Blockage Probability

The NLOS links can potentially help in achieving high coverage and reducing the blockage probability. In general, for a given BS at a distance r_i from the origin, the corresponding k virtual BSs are located at a distance larger than r_i . The corresponding NLOS link length can be obtained by tracing the path from the BS to a reflection point (the point where the NLOS signal got reflected), and then from the reflection point to the UE. However, in order to avoid the underlying complexity, we present a lower bound on blockages for the NLOS case by considering that all the k virtual BSs are located at a distance r_i from the origin, and uniformly over an angular range of $[0, 2\pi]$. By thus minimizing the length of NLOS paths and making their angular range independent of the BS, we will minimize the incidence of blockage. For such a scenario, the NLOS blockage probability $P(B_i^{NLOS} | m, r_i, k)$, corresponding to the i th BS, considering blockage by dynamic blockers, is given by:

$$\begin{aligned} P(B_i^{NLOS} | m, r_i, k) &= \prod_{j=1}^k \left(\frac{\frac{C}{\mu} r_i}{1 + \frac{C}{\mu} r_i} I_{(r_i \leq \tilde{R})} + 1 - I_{(r_i \leq \tilde{R})} \right) \\ &= \left(1 - \frac{1}{1 + \frac{C}{\mu} r_i} I_{(r_i \leq \tilde{R})} \right)^k \\ &= (1 - \tilde{b}(r_i))^k, \end{aligned} \quad (37)$$

where we assume the independent blocking of all the NLOS links and define

$$\tilde{b}(r_i) = \frac{1}{1 + \frac{c}{\mu} r_i} I_{(r_i \leq \tilde{R})}. \quad (38)$$

Next, we integrate the blockage probability in (37) w.r.t. the distribution of k given in (8) as follows:

$$\begin{aligned} P(B_i^{NLOS}|m, r_i) &= \sum_{k=1}^{\infty} P(B_i^{NLOS}|m, r_i, k) P_K(k) \\ &= \sum_{k=1}^{\infty} (1 - \tilde{b}(r_i))^k P_K(k). \end{aligned} \quad (39)$$

We further solve (39) and write the final expression as follows (we omit the calculation for brevity):

$$P(B_i^{NLOS}|m, r_i) = e^{-\tilde{b}(r_i)\kappa} - \tilde{b}(r_i)e^{-\kappa}. \quad (40)$$

The blockage probability $P(B)$ follows by assuming independent blocking of LOS and NLOS links and by averaging over the distributions $P_M(m)$ and $f(\{r_i\}|m)$, given in (1) and (2), respectively, as follows:

$$\begin{aligned} P(B) &= \sum_{m=0}^{\infty} \int_{r_1} \cdots \int_{r_m} \prod_{i=1}^m P(B_i^{LOS}|m, r_i) P(B_i^{NLOS}|m, r_i) \\ &\quad \times f(\{r_i\}|m) dr_1 \cdots dr_m P_M(m). \end{aligned} \quad (41)$$

The final expression of the blockage probability $P(B)$ is given in Theorem 4.

Theorem 4. *The blockage probability $P(B)$ considering both LOS and NLOS links is given by,*

$$P(B) = e^{-\tilde{a}\lambda_T\pi R^2}, \quad (42)$$

where \tilde{a} is obtained as,

$$\tilde{a} = 1 - \int_r \left(1 - \frac{pe^{-(\beta r + \beta_0)}}{1 + \frac{c}{\mu} r} \right) \left(e^{-\tilde{b}(r)\kappa} - \tilde{b}(r)e^{-\kappa} \right) \frac{2r}{R^2} dr, \quad (43)$$

where $\tilde{b}(r)$ is given in (38).

The conditional probability given coverage \mathcal{C} (35) is given by

$$P(B|\mathcal{C}) = \frac{e^{-\tilde{a}\lambda_T\pi R^2} - e^{-\tilde{q}\lambda_T\pi R^2}}{1 - e^{-\tilde{q}\lambda_T\pi R^2}}, \quad (44)$$

where \tilde{q} and \tilde{a} are given in (36) and (43) respectively.

The proof follows by putting the expressions (17) and (40) in (41) and following steps similar to the proof of Theorem 1 in Appendix B.

C. Expected Blockage Duration considering NLOS links

Similar to the LOS case, we can evaluate the the expected duration of blockage events assuming independent blocking of LOS and NLOS links. We define the number of BS in the disc $B(o, \tilde{R})$ as \tilde{n} , which follows Poisson($\lambda_T\pi\tilde{R}^2$) as

$$f_{\tilde{N}}(\tilde{n}) = \frac{[\lambda_T\pi\tilde{R}^2]^{\tilde{n}}}{\tilde{n}!} e^{-\lambda_T\pi\tilde{R}^2}. \quad (45)$$

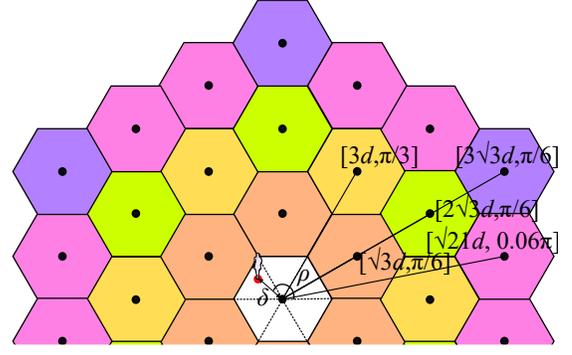


Figure 4: Hexagonal cellular layout.

Table II: Hexagonal cell distances and angular distributions.

Level	distance d_i	angle ψ_i	Num BS
0	0	reference	1
1	$\sqrt{3}d$	$[\pm\pi/6, \pm\pi/2, \pm5\pi/6]$	6
2	$3d$	$[0, \pm\pi/3, \pm2\pi/3, \pi]$	6
3	$2\sqrt{3}d$	$[\pm\pi/6, \pm\pi/2, \pm5\pi/6]$	6
4	$\sqrt{2}d$	$[\pm 0.06\pi, \pm\pi/3 \pm 0.06\pi, \pm 2\pi/3 \pm 0.06\pi, \pm(\pi - 0.06\pi)]$	12
5	$3\sqrt{3}d$	$[\pm\pi/6, \pm\pi/2, \pm5\pi/6]$	6

Given a BS in $B(o, \tilde{R})$, there are k virtual BSs corresponding to that actual BS. Therefore, the total number of NLOS links are $\tilde{n}k$. We know from Section IV that n LOS paths cover the UE. Therefore, the total number of LOS and NLOS paths is $n + \tilde{n}k$. The expected blockage duration is defined as

$$\mathbb{E}[T|\mathcal{C}] = \frac{1}{1 - e^{-\tilde{q}\lambda_T\pi R^2}} \mathbb{E} \left[\frac{1}{\mu(n + \tilde{n}k)} \right], \quad (46)$$

where the expectation is taken over the distribution of n , \tilde{n} , and k . We can approximate the blockage duration in (46) using a first order approximation as follows:

$$\begin{aligned} \mathbb{E}[T|\mathcal{C}] &\approx \frac{1}{1 - e^{-\tilde{q}\lambda_T\pi R^2}} \frac{1}{\mathbb{E}[\mu(n + \tilde{n}k)]} \\ &= \frac{1}{1 - e^{-\tilde{q}\lambda_T\pi R^2}} \frac{1}{\mu(\mathbb{E}[n] + \mathbb{E}[\tilde{n}]\mathbb{E}[k])} \\ &= \frac{1}{1 - e^{-\tilde{q}\lambda_T\pi R^2}} \frac{1}{\mu(pq\lambda_T\pi R^2 + \kappa\lambda_T\pi\tilde{R}^2)}, \end{aligned} \quad (47)$$

where p and q are defined in (15) and \tilde{q} defined in (36). Equation (47) is a good approximation of blockage duration for high BS densities, as discussed in Appendix D.

VI. HEXAGONAL CELL LAYOUT

In Section IV, we analyzed the random deployment of BS in an area. We observed that a high density of BS is required to meet the QoS requirements (See Section VII). These results motivated us to look at a planned network such as a grid-based hexagonal cell layout for the open park scenario. For such a planned network, we analyze the probability of blockage events due to random mobile blockers. We consider the hexagonal cell layout shown in Figure 4. A typical BS is located at the origin, and the UE is located within that cell at a distance δ from the origin and angle ρ from the x-axis. The user location is uniform in the central hexagonal cell. The other cells follow the hexagonal grid around the central cell.

Table III: Simulation parameters

Parameters	Values
Velocity of dynamic blockers, V	1 m/s
Height of dynamic blockers, h_B	1.8 m
Height of UE, h_R	1.4 m
Height of BSs, h_T	5 m
Expected blockage duration, $1/\mu$	1/2 s
Self-blockage angle, ω	60°
Parameter for the number of NLOS paths, κ	3
Average size of static blockages, $\ell \times w$	10 m \times 10 m

Table IV: Reliability and latency requirements [2], [40]

Application	Reliability [%]	Latency [ms]	Caching
V2X	≥ 99	≤ 20	\times
AR/VR	≥ 99.99	≤ 20	\checkmark
Smart Grid	≥ 99.999	≤ 10	\times
Tactile Internet	≥ 99.999	≤ 10	\times

The distances d_i from the origin and the angles ψ_i from the x-axis of BSs at different cells are given in Table II and shown in Figure 4. We define a set of BSs lie at a particular level ℓ (shown in Table II, and shown as hexagons with the same color in Figure 4), if they all are at the same distance from the origin. The distance r_i of the UE from the i th BS is given by:

$$r_i^2 = d_i^2 + \delta^2 - 2d_i\delta \cos(\psi_i - \rho), \quad (48)$$

which is a function of the UE's random location $\{\delta, \rho\}$.

For self-blockage, we consider a circle around UE of radius R and define a sector of this circle with an angle ω as the self-blockage zone. We consider the worst case of self-blockage by choosing a location and orientation of the user which accounts for the blockage of the maximum number of BSs. For instance, for $\omega = 60^\circ$, there can be up to 10 BSs blocked by self-blockage out of total 37 BSs (See Table II and Figure 4). Considering this worst-case scenario, we get an upper bound on the blockage probability when we consider self-blockage.

The expression for dynamic blockage probability for the hexagonal case can be obtained by using the expression in (13) and assuming the independent blocking of all the links as follows:

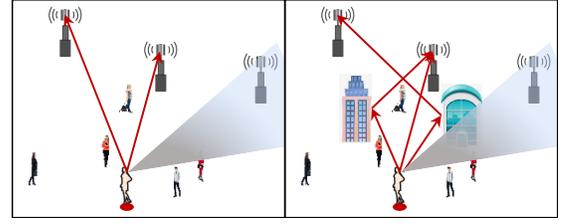
$$P(B^{\text{hex}}|\delta, \rho) = \prod_{i=1}^{m'} \left(1 - \frac{1}{1 + \frac{C}{\mu} r_i} \right), \quad (49)$$

where m' is the number of BSs which are within the range of the UE and are not blocked by self-blockage, and r_i for $i = 1, \dots, m'$ is a function of (δ, ρ) , as given in (48). We perform a numerical integration over the distribution of the UE's location $\{\delta, \rho\}$, assuming the UE is uniformly located in the central hexagon.

VII. NUMERICAL EVALUATION

We consider two outdoor scenarios for 5G mmWave cellular networks as shown in Figure 5.

- 1) *Open park scenario*: In an open park scenario (shown in Figure 5(a)), due to lack of buildings and permanent structures, we assume that the UE does not suffer static blockages. We also assume that due to the lack of reflecting surfaces, we may not have strong NLOS paths available. Other environments, such as those found in



(a) Open park scenario. (b) Urban scenario.

Figure 5: Outdoor scenarios considered for numerical analysis. We represent static blockages by buildings, dynamic blockers by moving pedestrians, and self-blockage by a cone centered around the UE.

rural areas, may also fall in this category. We only considered dynamic and self-blockage of LOS links in this scenario.

- 2) *Urban scenario*: In the case of an urban scenario (shown in Figure 5(b)), the signal to the UE may suffer static blockages due to buildings. At the same time, it may also have many NLOS paths available due to reflections by buildings and other structures. We evaluate our blockage analysis in the urban area with static blockages, LOS and NLOS paths along with dynamic and self-blockage.

The typical parameters used for simulation and numerical evaluation are presented in the Table III. A list of applications as well as their latency and reliability requirements are presented in Table IV. The checks and the cross marks in Table IV represent whether caching can be used for the applications to satisfy the QoS requirements.

A. Open Park Scenario

Simulation framework: For open areas, we conducted our analysis using both the stochastic geometry model and the hexagonal cell deployment. We consider two values of dynamic blocker density, 0.01 bl/m² and 0.1 bl/m², and two values of the self-blocking angle ω (0° and 60°) for our study. We compare our analytical results using stochastic geometry with a MATLAB simulation³, where the movement of blockers is generated using the random waypoint mobility model [41], [42]. For the simulation, we consider a square of size 200 m \times 200 m with blockers located uniformly in this area. Our area of interest is the disc $B(o, R)$ of radius $R = 100$ m, which perfectly fits in the considered square area. The blockers choose a direction randomly, and move in that direction for a time-duration chosen uniformly in $[0, 60]$ seconds. For the simulation, we performed 10,000 runs and each run consisted of the equivalent of 3 hours of blockers mobility. To maintain a fixed density of blockers in the square region, we consider that once a blocker reaches the edge of the square, it gets reflected. Note that for the given height of BSs, blockers, and the UE in Table III, we obtain from equation (9) that the blockers can block the LOS link only when they are within a range corresponding to a small fraction (11%) of the link length from the UE.

Figure 6 presents the blockage statistics (blockage probability, expected blockage frequency, and expected blockage

³Our simulator MATLAB code is available at github.com/ishjain/mmWave.

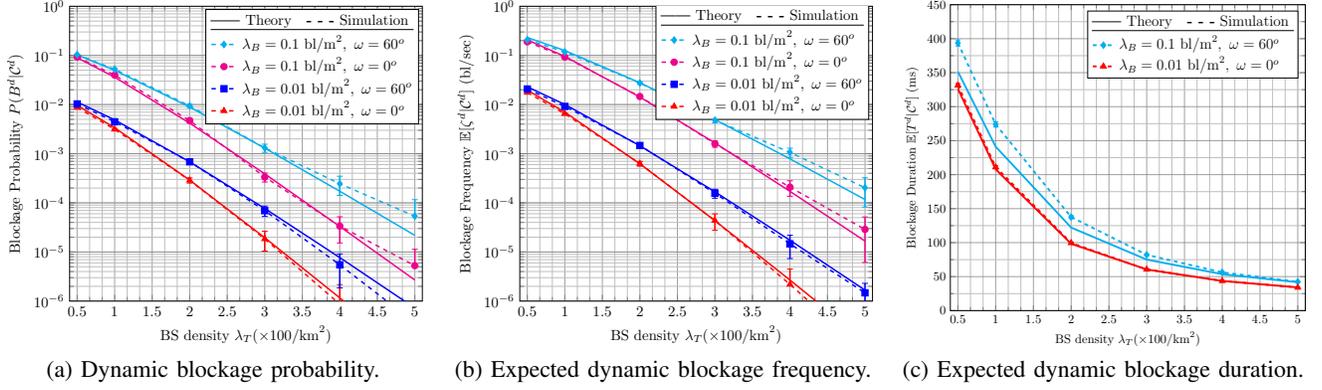


Figure 6: Open park scenario: Conditional dynamic blockage statistics given coverage (defined by at least one BS within reach of the UE and outside the self-blockage zone) for a communication range $R = 100$ m. The conditional probability and duration of dynamic blockage events are shown against BS density λ_T for various blocker densities λ_B and self-blockage angles ω .

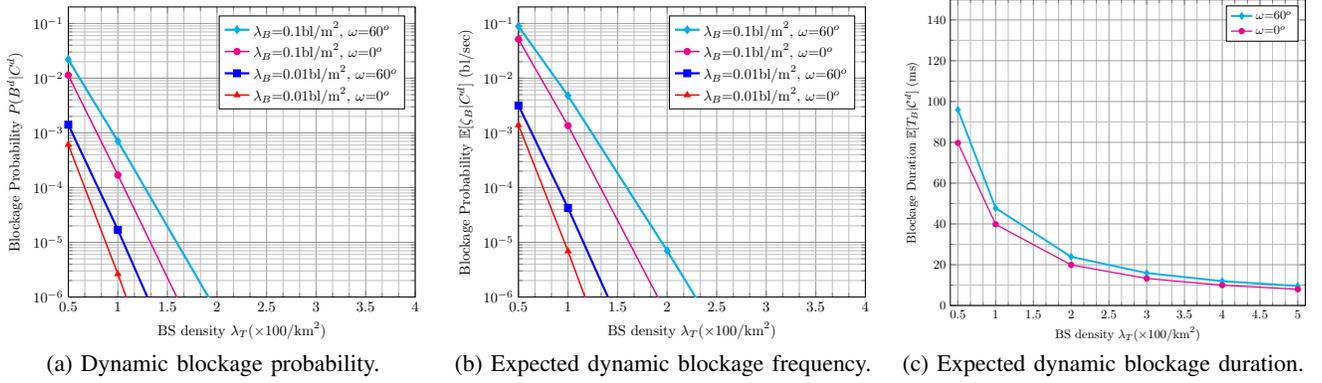


Figure 7: Open park scenario: Conditional dynamic blockage statistics given coverage for a communication range $R = 200$ m.

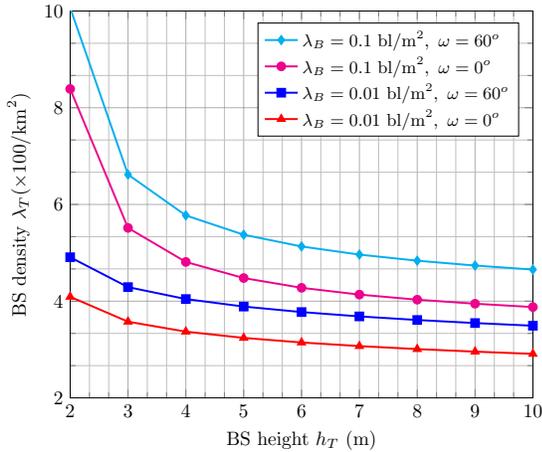


Figure 8: Open park scenario: the trade-off between BS height and density for fixed dynamic blockage probability $P(B^d|C^d) = 10^{-5}$.

duration) of LOS links in the open park scenario for a communication range of 100 m. For the analysis of reliability, we obtained the blockage probability and expected blockage duration, when the UE has at least one serving BS, i.e., the UE is always in the coverage area of at least one BS. The error bars in Figure 6 represents a single standard deviation from the average value.

Impact of blocker density and communication range: We consider the applications shown in Table IV and evaluate the minimum density of BS required to satisfy their reliability

and latency requirements. For V2X, the required reliability is 99%, i.e., blockage probability 10^{-2} and a maximum allowed latency of 20 ms. From Figure 6(a), for a communication range of 100 m, we can observe that to satisfy the reliability requirement of V2X, 200 BS/km² and less than 100 BS/km² may be required for blocker densities of 0.1 bl/m² and 0.01 bl/m², respectively. From Figure 7(a), we can observe that by increasing the communication range to 200 m, required number of BSs reduces significantly (less than 100 BS/km² and less than 50 BS/km² for blocker densities of 0.1 bl/m² and 0.01 bl/m², respectively). Furthermore, as caching is not a viable solution for V2X for achieving low latency, we can observe from Figure 7(c) that approximately 200 BS/km² may be required to satisfy the latency requirement for $R = 200$ m. However, a higher communication range (greater than 200 m) and traffic offloading employing the tight coupling of 5G NR and LTE network stacks may reduce the required BSs further.

We now consider applications such as AR/VR car entertainment services, which require 99.99% reliability and 20 ms maximum latency. From Figure 6(a), for a communication range of 100 m, we may require around 300 BS/km² and more than 400 BS/km² for blocker densities of 0.01 bl/m² and 0.1 bl/m², respectively. From Figure 7(a), with a communication range of 200 m, the number of required BSs reduces significantly (less than 100 BS/km² and 150 BS/km² for blocker densities of 0.01 bl/m² and 0.1 bl/m², respectively). However, we can observe from Figure 7(b) that the frequency

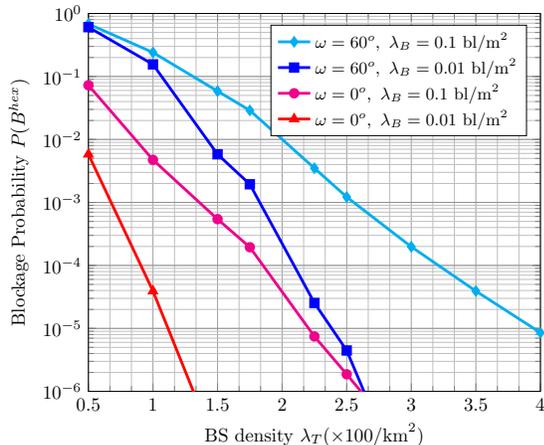


Figure 9: Hexagonal cell open park scenario: blockage probability.

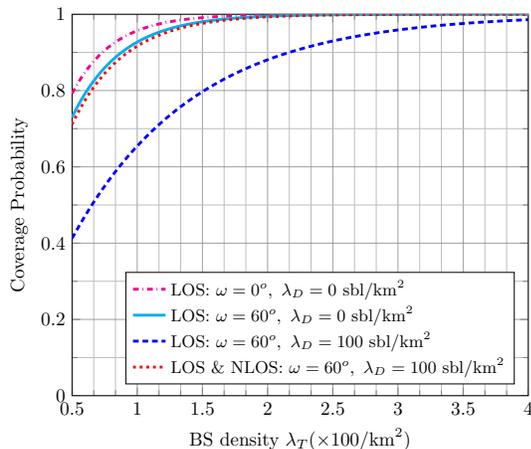


Figure 10: Coverage probability for various scenarios.

of blockages also reduces significantly with a higher value of communication range. Thus, to mitigate the effect of these rare blockage events, caching of content at the UE can be used to achieve the reliability and latency requirements of AR/VR applications. We can observe from Figure 7(c) that for a communication range of 200 m, caching of 40 – 60 ms worth of data is required for a BS density 150 BS/km² to have uninterrupted service. Thus, a BS density of 150 BS/km² may be sufficient to achieve both reliability and latency of AR/VR for car entertainment services. As mentioned earlier, this number can be further reduced by considering a higher communication range (greater than 200 m) or tight coupling of 5G NR and LTE network stacks.

Accuracy of simulation results: From Figure 6(a) and 6(b), we observe that both simulation and analytical results are approximately the same for a blocker density of 0.01 bl/m², but deviates modestly for a high blocker density of 0.1 bl/m², especially for high BS densities. Note that this deviation is due in part to our assumption that there is no more than one blocker blocking the link at the same time. However, no such assumption is made in simulations. From Figure 6(c), we observe our analytical results on blockage duration follow closely the simulation results for low blocker density (0.01 bl/m²). For a high blocker density (0.1 bl/m²), the percentage deviation is higher but still acceptable.

BS height–density tradeoff: To increase the service reliability, apart from increasing the BS density, placing the BSs at a greater height may reduce the probability of blockage. The BS height vs. density trade-off is shown in Figure 8. Note, for example, that doubling the height of the BS from 4 m to 8 m reduces the BS density requirement by approximately 20%. The optimal BS height and density can be obtained by performing a cost analysis based on this trade-off.

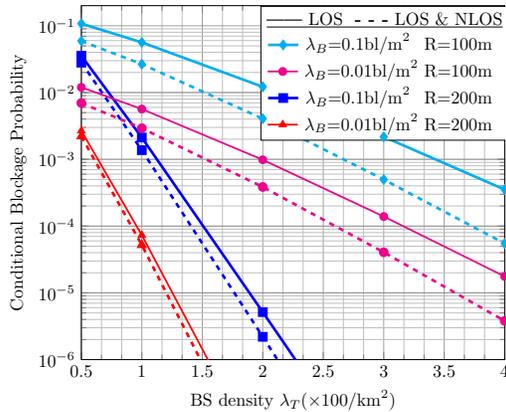
Results for the hexagonal cell model: Finally, we present the results for the hexagonal cell model in Figure 9 and compare them with those for the random model in Figure 6(a) for a communication range of 100 m. Note that for deterministic locations of BSs in hexagonal cells case, we were unable to get the closed-form solution and we used numerical integration to evaluate the performance. For the self-blockage angle 0°, we observe that the blockage probability of 10⁻⁴ can be achieved with about half the BSs (less than 100 BS/km² for blocker density 0.01 bl/m², and less than 200 BS/km² for blocker density 0.1 bl/m²). Next, we consider a self-blockage angle of 60°, where we computed an upper bound on the blockage probability. In the hexagonal cell case, with a blocker density of 0.01 bl/m² and self-blockage angle of 60°, an upper bound of 200 BS/km² will be sufficient to achieve 10⁻⁴ blockage probability, which is significantly lower than that needed for the random topology in Figure 6(a) (300 BS/km²).

Discussion on the rate of handovers: To mitigate the effect of blockages by mobile blockers, the UE needs to handover frequently. Given that there is at least one unblocked BS (which occurs with a probability close to 1 for high BS densities), the rate of handover is equivalent to the blockage rate of a single BS-UE link (given in (10)). We obtain the average handover rate to be 0.05 handovers/s/UE and 0.5 handovers/s/UE for blocker densities of 0.01 bl/m² and 0.1 bl/m², respectively for $R = 100$ m. These handover rates are much higher than those typically seen in 4G systems. This highlights the need for appropriate protocol design and resource allocation to make these frequent handovers seamless so that they do not affect application layer QoS.

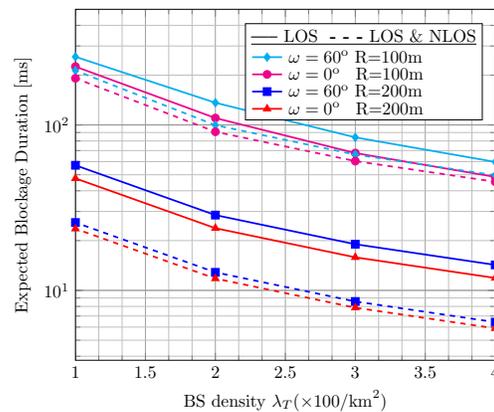
B. Urban Scenario

In an urban environment, the LOS paths to the UE may be blocked by buildings or permanent structures in addition to the dynamic and self-blockage. However, reflections from the buildings and other permanent structures may help in achieving higher coverage and service reliability by providing additional NLOS paths.

Coverage analysis: From Figure 10, we can observe that the coverage may degrade significantly due to static and self-blockage if the NLOS paths are not available. To achieve coverage of 90% in the urban scenario, around 200 BS/km² may be required in the absence of NLOS paths. However, to achieve 90% coverage in the presence of NLOS paths, significantly fewer BSs will be required (< 100 BS/km²). For NLOS links, we used the NLOS communication range of $\tilde{R} = R \times 10^{-\frac{\gamma_{\text{NLOS}}}{10 \times \text{PLE}}}$, where PLE is the path loss exponent and γ_{NLOS} is the attenuation (in dB) due to reflection of the



(a) Conditional blockage Probability (given coverage).



(b) Expected blockage duration (given coverage).

Figure 11: Urban scenario: Conditional blockage probability and duration (given coverage) considering 1) only LOS path, 2) both LOS and strong reflections (NLOS paths). We consider a static blockage density $\lambda_S = 100$ sbl/km² and self-blockage angle $\omega = 60^\circ$. Note that blockage duration is independent of dynamic blocker density.

signal. We use PLE= 2.69 given by the path loss model in [10] at 73 GHz and assume an average attenuation of $\gamma^{\text{NLOS}} = 5$ dB. We thus get a NLOS range $\tilde{R} = 65$ m corresponding to the LOS range $R = 100$ m and an $\tilde{R} = 130$ m corresponding to $R = 200$ m. When considering these results, note that prior work on a capacity analysis in [10] and [11] suggest that a density of only 30-100 BS/km² is enough to meet the capacity requirements of mmWave users.

Impact of static blockages and NLOS paths: Figure 11 presents the blockage probability and duration in the urban scenario. We observe that NLOS paths provide a surprisingly modest benefit in achieving a lower probability of blockage and expected blockage duration. This is due to the fact that a UE may have far fewer BS within \tilde{R} , which is significantly less than R , to provide NLOS paths as compared to LOS paths. UEs which are almost isolated, and are connected to only a few relatively distant BS, will suffer blockages disproportionately, and may have no NLOS paths to mitigate this effect.

We now consider the applications mentioned in Table IV and analyze the required number of BSs to satisfy both reliability and latency requirements. For applications such as smart grid and the tactile Internet, where high reliability (99.999%, i.e., 10^{-5} blockage probability) is required, we may require a very high number of BSs, when we assume a communication range of 100 m. However, with a 200 m communication range, we may need less than 200 BS/km² (still a very high number) to achieve 99.999% reliability (See Figure 11(a)). Note that for V2X, tactile Internet, and smart grid, caching is not a viable solution. Thus, we may require around 200 BS/km² to satisfy the latency requirement of these applications (See Figure 11(b) and Table IV). The results suggest that even with a rich scattered environment and higher communication range, we need a potentially uneconomically high number of mmWave BSs to satisfy the QoS requirements of URLLC applications as compared to the BSs required for capacity and coverage [10], [11], which are typically of the order of 30 – 100 BS/km². Thus, we may require tight coupling of different RATs such as 5G NR, LTE, and WiFi to collectively achieve QoS requirement for 5G applications

to maintain a lower 5G mmWave BS density. Furthermore, note that most of these URLLC applications will require a 0 ms SIT, further necessitating the tight coupling of different RATs. Note that the above discussion is necessarily tentative since mmWave 5G networks are only now being deployed. Experience with such network deployments, and the resultant technological improvements, may require us to revise our conclusions. However, we believe that the methodology developed in this paper, with appropriate amendments, can still be used in designing future 5G mmWave networks.

VIII. CONCLUSIONS

In this paper, we presented simplified models to quantify key QoS parameters such as blockage probability and duration in mmWave cellular systems. We presented a generalized blockage analysis considering dynamic blockage due to mobile blockers, static blockages due to buildings and permanent structures and self-blockage due to the user's own body. The user is considered blocked when LOS and NLOS paths to BS around the UE are blocked simultaneously. We verified our theoretical model with MATLAB simulations for self-blockage and dynamic blockages. For the scenarios we considered, our results indicate that the density of BS required to provide an acceptable quality of experience for URLLC applications is much higher than that obtained by capacity or coverage requirements. These results suggest that the mmWave cellular network engineering may be driven by dynamic blockage rather than capacity or coverage requirements. Furthermore, the blockage events may be correlated for multiple BSs based on the blocker's size and location. This correlation, which we did not model, may result in an even higher blockage probability. We also present an analysis of blockage probability for regularly spaced hexagonal cells and showed that such a planned mmWave cellular architecture could reduce blockages events as compared to more randomly allocated BS locations. As pointed out in the introduction, sub-6 GHz bands could be used to maintain connectivity during dynamic blockages, but this requires tight control plane integration between the mmWave and sub-6 GHz bands and careful traffic engineering

to prevent the random traffic overflow from mmWave bands from overwhelming sub-6 GHz capacity. In the future, we plan to address this issue, and issues related to correlation between blockage events.

APPENDIX

A. Proof of Lemma 2

The probability that a BS-UE link is not blocked (by static or self-blockage) follows from the independence of static blockages and the self-blockage by user's body. Denote \mathcal{C}_i as the event that the i th BS is not blocked by either static blockage or self-blockage. We calculate the probability $P(\mathcal{C}_i|m)$ by utilizing the expressions for static and self-blockage from (3) and (5) respectively, and taking the average over the distance distribution $f_{R_i|M}(r|m)$ from (2) as follows:

$$\begin{aligned} P(\mathcal{C}_i|m) &= \int_{r=0}^R p e^{-(\beta r + \beta_0)} \frac{2r}{R^2} dr \\ &= pq, \end{aligned} \quad (50)$$

where $q = \int_{r=0}^R e^{-(\beta r + \beta_0)} \frac{2r}{R^2} dr$ is solved to a closed-form expression given in (15). We assume that given m BSs in the disc $B(o, R)$, each BS may get blocked independently with probability pq . Therefore, the distribution of the number of BSs n which are not blocked by static or self-blockage follows a binomial distribution,

$$P_{N|M}(n|m) = \binom{m}{n} (pq)^n (1-pq)^{m-n}, \quad n \leq m. \quad (51)$$

Taking the average over the distribution $P_M(m)$ given in (1), we get the distribution of N as follows:

$$\begin{aligned} P_N(n) &= \sum_{m=0}^{\infty} P_{N|M}(n|m) P_M(m) \\ &= \sum_{m=n}^{\infty} \binom{m}{n} (pq)^n (1-pq)^{m-n} \frac{[\lambda_T \pi R^2]^m}{m!} e^{-\lambda_T \pi R^2} \\ &= \sum_{m=n}^{\infty} \frac{1}{(m-n)!} ((1-pq)\lambda_T \pi R^2)^{m-n} e^{-(1-pq)\lambda_T \pi R^2} \\ &\quad \times \frac{[pq\lambda_T \pi R^2]^n}{n!} e^{-pq\lambda_T \pi R^2} \\ &= \frac{[pq\lambda_T \pi R^2]^n}{n!} e^{-pq\lambda_T \pi R^2}. \end{aligned} \quad (52)$$

Note that we obtain the last equality using the fact that the sum of a Poisson distribution over its range $[0, \infty]$ is one. This concludes the proof of Lemma 2.

B. Proof of Theorem 1

The probability $P(B^{LOS}|m)$ is given by

$$\begin{aligned} P(B^{LOS}|m) &= \int_{r_1} \cdots \int_{r_m} \prod_{i=1}^m P(B_i^{LOS}|m, r_i) f(\{r_i\}|m) dr_1 \cdots dr_m \\ &= \int_{r_1} \cdots \int_{r_m} \prod_{i=1}^m \left[\left(1 - p e^{-(\beta r_i + \beta_0)} \frac{1}{1 + \frac{C}{\mu} r_i} \right) f(r_i|m) \right] \\ &\quad \times dr_1 \cdots dr_m. \end{aligned} \quad (53)$$

Note that the m -fold integral in (53) can be solved separately (as the integrand can be separated into independent products) by solving m identical integrals of the kind $\int_{r_i=0}^R \left(1 - p e^{-(\beta r_i + \beta_0)} \frac{1}{1 + \frac{C}{\mu} r_i} \right) \frac{2r_i}{R^2} dr_i$ as follows:

$$\begin{aligned} P(B^{LOS}|m) &= \left(\int_{r=0}^R \left(1 - p e^{-(\beta r + \beta_0)} \frac{1}{1 + \frac{C}{\mu} r} \right) \frac{2r}{R^2} dr \right)^m \\ &= \left(1 - p \int_{r=0}^R \frac{e^{-(\beta r + \beta_0)} 2r}{1 + \frac{C}{\mu} r} \frac{1}{R^2} dr \right)^m \\ &= (1 - ap)^m, \end{aligned} \quad (54)$$

where we defined a in (21). We now evaluate $P(B^{LOS})$ as

$$\begin{aligned} P(B^{LOS}) &= \sum_{n=0}^{\infty} P(B^{LOS}|m) P_M(m) \\ &= \sum_{m=0}^{\infty} (1 - ap)^m \frac{[\lambda_T \pi R^2]^m}{m!} e^{-\lambda_T \pi R^2} \\ &= \sum_{m=0}^{\infty} \frac{[(1 - ap)\lambda_T \pi R^2]^m}{m!} e^{-(1-ap)\lambda_T \pi R^2} e^{-ap\lambda_T \pi R^2} \\ &= e^{-ap\lambda_T \pi R^2}, \end{aligned} \quad (55)$$

where the last equality is obtained using the fact that the sum of a Poisson distribution over its range $[0, \infty]$ is one. Finally, the conditional blockage probability $P(B^{LOS}|\mathcal{C}^{LOS})$, conditioned on the coverage event \mathcal{C}^{LOS} , is obtained as follows:

$$P(B^{LOS}) = P(B^{LOS}|\mathcal{C}^{LOS})P(\mathcal{C}^{LOS}) + 1 - P(\mathcal{C}^{LOS}) \quad (56)$$

and therefore,

$$\begin{aligned} P(B^{LOS}|\mathcal{C}^{LOS}) &= \frac{P(B^{LOS}) - (1 - P(\mathcal{C}^{LOS}))}{P(\mathcal{C}^{LOS})} \\ &= \frac{e^{-ap\lambda_T \pi R^2} - e^{-pq\lambda_T \pi R^2}}{1 - e^{-pq\lambda_T \pi R^2}}. \end{aligned} \quad (57)$$

This concludes the proof of Theorem 1.

We now proceed with the proof of Corollary 2. We first derive $P(B^d|m)$ in a manner similar to (54), but by setting $\beta = \beta_0 = 0$ for the dynamic blockage case without any static blockages (equivalently, we set $q = 1$). Therefore, the

expression of a in (21) gets simplified and is represented by a' as follows:

$$\begin{aligned} a' &= \int_{r=0}^R \frac{1}{1 + \frac{C}{\mu}r} \frac{2r}{R^2} dr \\ &= \frac{2\mu}{RC} - \frac{2\mu^2}{R^2 C^2} \log\left(1 + \frac{RC}{\mu}\right), \end{aligned} \quad (58)$$

where the intermediate steps of integration are omitted for brevity. The rest of the analysis is similar to the derivation in (55) and (57).

C. Proof of Theorem 2

Using the results from (28), we find the expected blockage duration $\mathbb{E}[T^{LOS}|C^{LOS}]$, conditioned on the coverage event C^{LOS} defined in (16), as follows:

$$\begin{aligned} \mathbb{E}[T^{LOS}|C^{LOS}] &= \frac{\mathbb{E}[T^{LOS}, n \neq 0]}{P(C^{LOS})} = \frac{\sum_{n=1}^{\infty} \frac{1}{n\mu} P_N(n)}{P(C^{LOS})} \\ &= \frac{\sum_{n=1}^{\infty} \frac{1}{n\mu} \frac{[pq\lambda_T\pi R^2]^n}{n!} e^{-pq\lambda_T\pi R^2}}{1 - e^{-pq\lambda_T\pi R^2}} \\ &= \frac{e^{-pq\lambda_T\pi R^2}}{\mu(1 - e^{-pq\lambda_T\pi R^2})} \sum_{n=1}^{\infty} \frac{[pq\lambda_T\pi R^2]^n}{nn!}. \end{aligned} \quad (59)$$

This concludes the proof of Theorem 2.

D. Approximation of the expected duration

The expectation of a function $f(n) = 1/n$ can be approximated using the Taylor expansions for the moments of functions of random variables [43] as follows:

$$\begin{aligned} \mathbb{E}[f(n)] &= \mathbb{E}[f(\mu_n + (n - \mu_n))], \\ &\approx \mathbb{E}[f(\mu_n) + f'(\mu_n)(n - \mu_n) + \frac{1}{2}f''(\mu_n)(n - \mu_n)^2] \\ &= f(\mu_n) + \frac{1}{2}f''(\mu_n)\sigma_n^2 = \frac{1}{\mu_n} + \frac{\sigma_n^2}{\mu_n^3}, \end{aligned} \quad (60)$$

where μ_n and σ_n^2 are the mean and variance of Poisson random variable N given in (14). We get the required expression by substituting $\mu_n = pq\lambda_T\pi R^2$ and $\sigma_n^2 = pq\lambda_T\pi R^2$ in (60) for $f(n) = 1/n$ as follows:

$$\mathbb{E}[1/n] \approx \frac{1}{pq\lambda_T\pi R^2} + \frac{1}{(pq\lambda_T\pi R^2)^2}. \quad (61)$$

On further simplification for high BS densities, we can further approximate the expression as:

$$\mathbb{E}[1/n] \approx \frac{1}{pq\lambda_T\pi R^2} = \frac{1}{\mathbb{E}[n]}. \quad (62)$$

Using (62), we approximate $\mathbb{E}[T^{LOS}|C^{LOS}]$ as follows:

$$\begin{aligned} [T^{LOS}|C^{LOS}] &\approx \frac{1}{P(n \neq 0)\mu\mathbb{E}[n, n \neq 0]} \\ &= \frac{1}{P(C^{LOS})\mu pq\lambda_T\pi R^2}. \end{aligned} \quad (63)$$

Finally, the expression in (30) can be obtained by substituting $P(C^{LOS})$ from (16) to (63).

E. Proof of Theorem 3

Note that the probability $P(B_i^d|n, \{r_i\})$ follows the same expression as $P(B_i^d|m, \{r_i\})$ in (13) in the absence of static blockages. Therefore, we simplify ζ^d in (32) as follows:

$$\zeta^d = n\mu \prod_{i=1}^n \frac{\frac{C}{\mu}r_i}{1 + \frac{C}{\mu}r_i}. \quad (64)$$

We first evaluate $\mathbb{E}[\zeta^d|n]$ by following the steps similar to the derivation of (58) as follows:

$$\begin{aligned} \mathbb{E}[\zeta^d|n] &= n\mu \left(\int_r \frac{\frac{C}{\mu}r}{1 + \frac{C}{\mu}r} \frac{2r}{R^2} dr \right)^n \\ &= n\mu(1 - a')^n, \end{aligned} \quad (65)$$

where $a' = 1 - \int_r \frac{\frac{C}{\mu}r}{1 + \frac{C}{\mu}r} \frac{2r}{R^2} dr$ was solved to the closed-form expression in (24). Next, we evaluate $\mathbb{E}[\zeta^d]$ as follows:

$$\begin{aligned} \mathbb{E}[\zeta^d] &= \sum_{n=0}^{\infty} n\mu(1 - a')^n \frac{[p\lambda_T\pi R^2]^n}{n!} e^{-p\lambda_T\pi R^2} \\ &= \sum_{n=1}^{\infty} \frac{[(1 - a')p\lambda_T\pi R^2]^{(n-1)}}{(n-1)!} e^{-(1-a')p\lambda_T\pi R^2} \\ &\quad \times \mu(1 - a')p\lambda_T\pi R^2 e^{-ap\lambda_T\pi R^2} \\ &= \mu(1 - a')p\lambda_T\pi R^2 e^{-a'p\lambda_T\pi R^2}. \end{aligned} \quad (66)$$

Finally, the expected frequency of blockage $\mathbb{E}[\zeta^d|C^d]$ conditioned on the coverage C^d (22) is given as follows:

$$\begin{aligned} \mathbb{E}[\zeta^d|C^d] &= \frac{\sum_{n=1}^{\infty} \mathbb{E}[\zeta^d|n]P_N(n)}{P(C^d)} = \frac{\sum_{n=0}^{\infty} \mathbb{E}[\zeta^d|n]P_N(n)}{P(C^d)} \\ &= \frac{\mathbb{E}[\zeta^d]}{P(C^d)} = \frac{\mu(1 - a')p\lambda_T\pi R^2 e^{-a'p\lambda_T\pi R^2}}{1 - e^{-p\lambda_T\pi R^2}}. \end{aligned} \quad (67)$$

This concludes the proof of Theorem 3.

F. Proof of Lemma 4

Assuming the independence of LOS and NLOS links, we obtain the coverage probability $P(C)$ as follows:

$$\begin{aligned} P(C) &= \sum_m \int_{r_1} \cdots \int_{r_m} P(C|m, r_i) f(\{r_i\}|m) dr_1 \cdots dr_m P_M(m) \\ &= \sum_m \int_{r_1} \cdots \int_{r_m} \left(1 - \prod_{i=1}^m (1 - pe^{-(\beta r_i + \beta_0)}) (1 - I_{(r_i \leq \bar{R})}) \right) \\ &\quad \times f(\{r_i\}|m) dr_1 \cdots dr_m P_M(m) \\ &= 1 - \sum_m \left(\int_{r=\bar{R}}^R (1 - pe^{-(\beta r + \beta_0)}) \frac{2r}{R^2} dr \right)^m P_M(m) \\ &= 1 - \sum_m (1 - \tilde{q})^m P_M(m), \end{aligned} \quad (68)$$

where we defined \tilde{q} as

$$\tilde{q} = 1 - \int_{r=\bar{R}}^R (1 - pe^{-(\beta r + \beta_0)}) \frac{2r}{R^2} dr. \quad (69)$$

We solve the integration in (69) to a closed-form expression given in (36). Finally, we evaluate the coverage probability (35) in Lemma 4 by following the steps similar to the derivation of (55).

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